

**ROARING FORK TRANSPORTATION AUTHORITY  
BOARD OF DIRECTORS MEETING AGENDA**

**TIME:** 8:30 a.m. – 10:30 a.m., Thursday, December 10, 2015

**USUAL LOCATION:** Town Hall (Room 1), 511 Colorado, Carbondale, CO

(This Agenda may change before the meeting.)

	<b>Agenda Item</b>	<b>Policy</b>	<b>Purpose</b>	<b>Est. Time</b>
1	<b>Call to Order / Roll Call:</b>		<b>Quorum</b>	<b>8:30 a.m.</b>
2	<b>Executive Session:</b>			
	A. Paul Taddune, General Counsel:  1) Pursuant to C.R.S. 24-6-402(4)(b) conferences with an attorney for the local public body for the purposes of receiving legal advice on specific legal questions concerning potential and pending litigation; and 2) Pursuant to C.R.S. 24-6-402 4(e)(I) Determining positions that may be subject to negotiations; developing strategy for negotiations and instruction negotiators; and 24-6-402(4)(a) The purchase, acquisition, lease, transfer, or sale of any real, personal, or other property interests.	<b>3.5.2</b>	<b>Executive Session</b>	<b>8:32 a.m.</b>
3	<b>Approval of <u>Minutes</u>:</b> RFTA Board Meeting, November 12, 2015, <i>pg. 2</i>		<b>Approve</b>	<b>9:15 a.m.</b>
4	<b>Public Comment:</b> Regarding items not on the Agenda (up to one hour will be allotted if necessary, however, comments will be limited to three minutes per person)		<b>Public Input</b>	<b>9:20 a.m.</b>
5	<b>Items Added to Agenda – Board Member Comments:</b>	<b>4.3.3.C</b>	<b>Comments</b>	<b>9:30 a.m.</b>
6	<b>Public Hearing:</b>			
	A. Resolution 2015-20: Adoption of <u>2016 RFTA Budget</u> and Resolution 2015-21: Appropriating Sums of Money for the 2016 Budget Year – Michael Yang, Director of Finance, <i>page 10</i>	<b>4.2.5 &amp; 2.5</b>	<b>Approve</b>	<b>9:35 a.m.</b>
7	<b>Issues to be Considered at Next Meeting:</b>			
	To Be Determined at December 10, 2015 Meeting	<b>4.3</b>	<b>Meeting Planning</b>	<b>10:20 a.m.</b>
8	<b>Next Meeting:</b> 8:30 a.m. – 12:00 p.m., January 14, 2016 at Carbondale Town Hall.	<b>4.3</b>	<b>Meeting Planning</b>	<b>10:25 a.m.</b>
9	<b>Adjournment:</b>		<b>Adjourn</b>	<b>10:30 p.m.</b>

**ROARING FORK TRANSPORTATION AUTHORITY  
BOARD MEETING MINUTES  
November 12, 2015**

**Board Members Present:**

Stacey Patch Bernot, Chair (Town of Carbondale); Kathy Chandler-Henry, Vice-Chair (Eagle County); Mike Gamba (City of Glenwood Springs); Jacque Whitsitt (Town of Basalt); Steve Skadron (City of Aspen); Michael Owsley (Pitkin County).

**Voting Alternates Present:**

Alyssa Shenk (Town of Snowmass Village); Patrick Stuckey (Town of New Castle).

**Non-Voting Alternates Present:**

Kathryn Trauger (City of Glenwood Springs); George Newman (Pitkin County); John Hoffmann (Town of Carbondale).

**Staff Present:**

Dan Blankenship, Chief Executive Officer (CEO); Kelley Collier (COO); Paul Taddune, General Counsel; Edna Adeh, Board Secretary; Mike Hermes, Angela Henderson, Abbey Pascoe, Amy Burdick, Facilities & Trails Department; Michael Yang, Finance Department; David Johnson, Jason White, Planning Department; Ed Cortez, Bus Operator and President of ATU Local #1774.

**Visitors Present:**

John Kruger, Lynn Rumbaugh, City of Aspen; Collin Szewczyk and John Stroud, Reporters; Eva Wilson, Eagle County; Tom Baker, Town of New Castle; Jim Breasted, Citizen.

**Agenda**

**1. Roll Call:**

Stacey Bernot, Chair, declared a quorum to be present (7 member jurisdictions present) and the meeting began at 8:31 a.m.

**2. Executive Session**

**Stacey Bernot read the topics and legal justifications of the scheduled Executive Session prior to the motion to adjourn into Executive Session:**

**A. Two Matters:** Paul Taddune, General Counsel:

1) Pursuant to C.R.S. 24-6-402(4)(b) conferences with an attorney for the local public body for the purposes of receiving legal advice on specific legal questions concerning potential and pending litigation; and

2) Pursuant to C.R.S. 24-6-402 4(e)(I) Determining positions that may be subject to

negotiations; developing strategy for negotiations and instruction negotiators; and 24-6-402(4)(a) The purchase, acquisition, lease, transfer, or sale of any real, personal, or other property interests.

**Michael Owsley made the motion for the RFTA Board to adjourn into Executive Session. Jacque Whitsitt seconded the motion and it was unanimously approved. The Board adjourned into Executive Session at 8:32 a.m.**

RFTA staff present at the Executive Session included: Dan Blankenship, Kelley Collier, Edna Adeh, Paul Taddune, Mike Hermes, Angela Henderson.

Alyssa Shenk arrived at 8:39 a.m., which increased the number of member jurisdictions present at the meeting to eight (8).

**Jacque Whitsitt moved to adjourn from Executive Session into the regular Board Meeting and Michael Owsley seconded the motion. The motion was unanimously approved.**

**No action was taken during the Executive Session. The Executive Session adjourned at 9:05 a.m.**

**3. Approval of Minutes:**

**Jacque Whitsitt moved to approve the minutes of the October 8, 2015 Board Meeting and Michael Owsley seconded the motion. Whitsitt abstained from voting since she was absent in the October Board meeting. The motion was approved by seven member jurisdictions.**

**4. Public Comment:**

Stacey Bernot asked if any member of the public would like to address the Board or make a comment.

Jim Breasted a former City of Aspen Councilor now residing on Sopris Avenue in Carbondale addressed the Board. He praised the Board and RFTA for an excellent job on renovating Rubey Park bus station, and for the foresight of acquiring Rubey Park and the Rio Grande Trail. He cautioned the Board about current and future controversy over crossings and of conflicts of interest. Breasted also praised RFTA for its improvements in the transit system, particularly for BRT, calling it "just marvelous."

Ed Cortez, RFTA bus operator and president of ATU #1774 addressed the Board by confirming the dates of negotiation: December 1<sup>st</sup> to 4<sup>th</sup> in Carbondale at CMC. He thanked Dan Blankenship and the Board for their cooperation for choosing Tom Hock as an advisor and not chief negotiator. The bus operators, said Cortez, appreciate this first positive step toward negotiations. He invited the Board members to attend the negotiations.

**5. Items Added to Agenda – Board Member Comments:**

There were no items added to the meeting agenda. There were no comments or questions regarding issues not on the meeting agenda.

6. **Consent Agenda**

- A. **Resolution 2015-17: Supporting a Colorado Parks and Wildlife State Trails Program Non-Motorized Recreational Trails Large Construction and Maintenance Grant Application** – Jason White, Assistant Planner
- B. **Resolution 2015-18: Supporting Department of Local Affairs (DOLA) Alternative Fuels Grant Application** - Jason White, Assistant Planner
- C. **IGA Between Garfield County and RFTA for Joint Use of RFTA Fueling Facilities** – Dan Blankenship, CEO

**Jacque Whitsitt made a motion to adopt Consent Agenda in its entirety and Kathy Chandler-Henry seconded the motion.**

**Discussion:**

Dan Blankenship stated that that Garfield County is interested in fueling with unleaded, diesel and CNG, primarily because the nearest County-owned fueling facility is located South of Cattle Creek. Most of the County's fleet uses unleaded fuel at present. This can be the beginning of a relationship that may grow over time.

**The motion passed unanimously.**

7. **Presentations/Action Items:**

- A. **Presentation of 3rd Draft of 2016 RFTA Budget** – Mike Yang, Director of Finance

Michael Yang presented the Board with the 3<sup>rd</sup> draft of 2016 RFTA budget, including the following highlights.

Revenues

We have received \$200,000 of additional operating grant revenue from a CDOT FASTER Grant to support the service of Grand Hogback on I-70.

Sales Tax Forecast Adjustments

By the time RFTA staff published the November 2015 Board agenda and materials, seven jurisdictions had reported their sales tax estimates for FY2016, and RFTA used a placeholder estimate of 2% for Town of Basalt. Town of Basalt recently provided an estimate of 4% sales tax increase for 2016. Final forecasts will be provided in December.

Compensation

Based on the biennial MSEC survey, pay was adjusted upward for a variety of positions and tiers. RFTA will make the adjustments at the beginning of the year. In general, there is a 2% increase in compensation and benefits in this new version of the budget, amounting to an increase of \$300,000 in expenditures for wages. Starting wage for drivers is now \$18.70.

Bernot expressed appreciation for RFTA making the changes based on the market survey. She requested that RFTA distribute the information to employees as soon as possible and as clearly as possible. She also encouraged RFTA to make pay raises for the lower and middle bands a priority.

Blankenship responded that RFTA has been in limbo over the wage adjustments pending negotiations with the ATU. Blankenship also stated that employees will not only receive merit increases but market adjustments of 1% to 3% based on the market survey.

#### Video Recording of Board Meetings

Skadron expressed shock over the cost estimate for video recording. Adeh explained that RFTA has researched the costs and options, and determined that the expenditure is competitive with other jurisdictions. Since RFTA does not have a permanent meeting location, the service provider has to transport and set up equipment, which increases operating costs. A meeting room might be available at Third Street Center in Carbondale, which would reduce costs significantly.

Bernot stated that though she supports the expenditure as a means to improve accessibility and transparency, she is also surprised by the cost. She pointed out that each jurisdiction has varying expectations and tools, and the price seems competitive with other jurisdictions. Town of Carbondale is also planning to upgrade its video recording systems, which may open the door for a partnership with RFTA.

Blankenship mentioned that there is a lot of time invested into the process of preparing the minutes. Video recording will streamline the effort and will provide greater avenues for distribution.

Skadron asked if there might be a simpler option, such as setting up a camera, recording, and posting on the RFTA web site. Bernot asked if there might be a partnership opportunity with CMC or the school district. The video is desired to be linked to specific sections of the agenda, so that viewers can easily find the information they wish to view; however, these options are expensive. Bernot requested deferring the expenditure for now; for budget purposes.

#### **Garfield County Contribution**

Yang reported that Garfield County increased its annual contribution to RFTA by 5%, to \$682,500.

Yang stated that the final budget presentation will be on December 10<sup>th</sup>.

The Board took a break from 9:45 to 10:00 am

#### **B. First Reading of Proposed Rio Grande Railroad Corridor Access Control Plan (ACP) Update** - Angela Henderson, Assistant Director, Project Management and Facilities Operations

Henderson presented the final draft of the ACP. Continuous participation from the workgroup was instrumental developing this draft. Changes are highlighted in yellow and teal. RFTA believes that the current plan will maintain the railbanked status, satisfy the GOCO grant requirements, protect the corridor for freight rail re-activation and preserve the current use as a bicycle and pedestrian trail. At the request of Garfield County, RFTA will include three appendices containing agreements from 1997 and 2001. These documents are important because they help to explain why the ACP exists.

RFTA will need to continue to work with residents with property in the Federal Right of Way areas to clear up title issues; making both RFTA and adjacent landowners whole.

This is a critical concern expressed by the jurisdictions.

Bernot inquired if Congressional approval is the only way to clear up the Federal Land Grant title issues. Taddune responded that there are more local solutions for RFTA and property owners, but RFTA is exploring the possibility of the extra congressional protection and ratification, which will benefit the adjacent property owners. In addition to the complexity of past railroad land acquisition, there are patent issues and underlying ownership issues. The railroad surveys were not recorded in Garfield County, and the local surveyors were not aware of this. Blankenship stated that we will set up a conference call with the Surface Transportation Board as soon as our lobbyist in DC has made the proper arrangements. Bernot emphasized that having proper documentation of the boundaries for the property owners should be the main goal.

Bernot said she appreciated the changes made to the ACP, but the Design Guidelines were not reviewed in depth. Carbondale staff has some issues about its level of control of the Guidelines. Blankenship responded that the Design Guidelines are advisory in nature and intended to be a reference for how to create safe crossings. They are not hard and fast rules. For instance, an overhead catenary is for electrified passenger rail, like LRT; it's needed to preserve the corridor for freight rail. The concern is that adjacent communities do not want to be stuck with all the infrastructure costs if a new system is implemented. The costs of redesigning and constructing new or grade-separated crossings should not be arbitrarily passed over to adjacent owners and communities, but should be packaged in a future ballot question on rail in a manner that residents can vote on.

Whitsitt asked about the process and complexity of making future updates to the Plan. Blankenship responded that RFTA should update the Plan every five years, and perhaps form the work group a year in advance. For amendments, we would have two readings before the Board prior to adoption, and make sure there is opportunity for public comment. We are 10 years or more overdue with this update, and due to Board, elected official, and staff turnover and other issues, a lot of institutional memory was lost. Bernot stated that in the future RFTA and the jurisdictions could be at odds over the interpretation of the ACP, so it is important to clarify the intent of any issues of concern now for the benefit of people in the future.

Gamba asked about the time frame for exchanging deeds and agreements with property owners. It appears that both RFTA and the property owners will deed land to establish a 100-ft ROW (down from 200ft). Gamba believed that the property owners found this acceptable. He was focused mostly on Cole subdivision, he said, but there may be others in a similar position.

Henderson responded that RFTA needs to create legal property descriptions and then exchange quit claim deeds with the property owners. RFTA has started the legal description process, and property descriptions are expected to be complete by end of December.

Gamba said he was appreciative of the efforts to find common ground, but believed that the City of Glenwood Springs was not ready to support adoption of the ACP. He did not wish to undermine the process and timeline, but he believed that it would take a few more months. Bernot said she would like the drafting committee to meet again to make sure that, among other things, everyone understands that the Design Guidelines are

advisory and that the language reflects so.

The Town of Basalt is not as immersed in these issues, said Whitsitt, and does not wish to hasten the process unnecessarily. The entire Board needs to know what is being questioned and what is being resolved. Blankenship responded that RFTA will keep working on the drafting process until we have clear signals that it can be adopted by all the communities, without compromising RFTA's ability to preserve the corridor. Bernot said that she wanted to be respectful of Dave Sturges' concern that lack of adoption might compromise the Grand Avenue Bridge Project schedule, but she was also willing to extend the decision-making time frame.

Trauger asked about the notice periods for revocation of licenses. Henderson responded that there is not a uniform period. Utility easements, public crossings, and private crossings, for instance, are all different. RFTA has issued 165 licenses for private crossings, which have a 30-day revocation notice. However, said Blankenship, some license agreements may have terms stating that changes must be approved by the Board, so revocations are not arbitrary.

Bernot stated that March is the goal for the reading and likely adoption of the plan. She requested issuing the draft at least a week before the meeting to allow thorough review.

**C. Integrated Transportation System Plan (ITSP)/Draft 2016 5-Year Strategic Plan Update – David Johnson, Planning Director**

Johnson referred to page 39 of the Board packet and stated that this is the continuation of drafting the ITSP. This plan will be carried out in phases based on priorities and budget.

Bernot asked Johnson to review the blue bullet points of his presentation included in the Board packet. Johnson briefly reviewed the blue headlines and explained the phase one scope of work of this project. Bernot commented that she appreciates the breakdown of the document and the work that went into it.

Johnson explained that in this phase we are trying to capture our current capacities and deficiencies and our strengths and weaknesses. The next step will be to forecast RFTA needs for 15-20 years, and determine how to address these needs, in terms of fleet, facilities, personnel and budget.

Owsley asked RFTA to document the region's assets rather than RFTA's assets only. Johnson explained that as we developed and refined the budget and timeline for the ITSP, we decided to focus on RFTA first, and then broaden from there.

Blankenship said he agrees with this aspect of phases based on our budget capabilities and resources. He added that ITSP is going to be a living process that will be updated annually. So, in future phases we will reach out to the communities to determine their visions. Chandler-Henry said she agreed with the phasing plan. Owsley stated that it would be helpful to incorporate the results into land use plans of member jurisdictions. Newman stated that this may be an important source of information for the Entrance to Aspen.

Whitsitt mentioned that Garfield County P&Z is reviewing a proposal to re-zone 43 acres between Glenwood Springs and Carbondale to General Commercial use (immediately

adjacent to the River Edge development). This would have a significant fiscal impact on RFTA, as it will likely generate demand for transit but will not provide RFTA sales tax to address the needs. Moreover it may siphon off sales tax from existing commercial businesses in incorporated areas.

Whitsitt suggested making the ITSP a vehicle for addressing the transportation impacts of these decisions. Former RFTA Board member Dorothea Farris and Whitsitt advocated against establishing RFTA infrastructure in unincorporated areas. "You can't create a transit system serving a 40-mile street of sprawl," said Whitsitt and the stops in unincorporated areas, lacking proper crossings and lighting and other safety measures, are a legal liability.

Blankenship agreed that commercial development in unincorporated areas could lead to a cannibalization of sales tax revenues from incorporated areas and member jurisdictions. The Board has already adopted a policy with respect to serving unincorporated areas and developments. RFTA's policy is to evaluate the issue and the impact on RFTA, but to refrain from making a commitment to serve the development. If developments need service, they will need to provide the requisite operating and capital resources. Whitsitt commented that there are people in this valley that can afford funding of the services but the impact of the stops will not help our community. Blankenship said that the Board has to weigh in on these issues, and we will need guidance from the Board.

Ed Cortez commented that, based on his past experience serving as RFTA Board member, this type of "rampant" development is worthy of Board direction.

**8. Public Hearing:**

**A. Resolution 2015-19: Supplemental Budget Resolution – Mike Yang, Director of Finance**

Yang referred to pages 42-43 of the Board packet: By truing up revenues and expenditure, the supplemental will result in an overall surplus of approximately \$174,751 in the General Fund.

Bernot opened the discussion for Public Hearing at 10:56 a.m. With no comments from the public, the Public Hearing was closed at 10:56 a.m.

**Jacque Whitsitt made a motion to adopt Resolution 2015-19: Supplemental Budget Resolution and Patrick Stuckey seconded the motion. The motion passed unanimously.**

**9. Information/Updates:**

**A. CEO Report – Dan Blankenship, CEO**

**New Castle Park and Ride**

Collier reported that RFTA had a conference call last week with a number of CDOT staff. During the meeting, CDOT reported that the Transportation Commission may cede the FASTER funding decisions to the Division of Transit and Rail Director, which will streamline the grant execution process. RFTA will continue to meet monthly with CDOT to monitor progress on grant execution. Hermes reminded CDOT that the New Castle Park and Ride is a critical transit mitigation strategy for the Grand Avenue Bridge project,

and that RFTA will need to reconsider how it addresses Grand Avenue Bridge impacts if the New Castle PNR is not ready.

Stuckey reminded the RFTA Board and staff that the New Castle Park and Ride is a high priority to New Castle and to the surrounding communities. Blankenship stated that RFTA has experienced delays associated with grant execution on a number of projects. CDOT has a rule that grantees cannot award construction contracts or commit funds from CDOT-related grants until there is an executed grant contract in place. The Transportation Commission may award the funds, but the executed contract may not appear for months. This is not an efficient way to manage projects.

Blankenship suggested that Town of New Castle Council members or the Chair of the RFTA Board can attend meetings with CDOT and emphasize the importance of this project. Bernot indicated that she would volunteer to attend any future meetings with CDOT on this issue.

### **We-Cycle**

Blankenship reported that We-Cycle is working on expanding to the Town of Basalt. There is no funding in the 2016 budget for this project, but RFTA has committed to review the budget after negotiations with the ATU are complete. Mirte Mallory added that she has made progress securing funding and approvals from Eagle County, Town of Basalt and other entities to purchase the kiosks. Newman stated that We-Cycle is part of an integrated transportation system plan, and encouraged the Board to support with funding as soon as possible.

Blankenship suggested that the Board review the matter in December.

### **River Edge**

Whitsitt suggested discussing RFTA's Service Provisioning Policy at the next Board meeting to better determine how the Board will approach service provisioning in unincorporated areas. Whitsitt expressed concern about the impacts of rampant development all along the SH82 corridor, and how RFTA responds. "I do not want to wait until we are dead in the water and need 6 lanes." Skadron agreed. Blankenship said that staff would put it on the January 2016 Board agenda.

10. **Issues to be Considered at Next Meeting:** To be determined at November 12, 2015 Meeting.

12. **Next Meeting/Retreat:** 8:30 – 10:00 a.m., December 10, 2015 at Carbondale Town Hall

13. **Adjournment:**

**Bernot moved to adjourn the Board meeting at 11:16 a.m.**

The Board Meeting adjourned at 11:16 a.m.

Respectfully Submitted:

Edna Adeh  
Board Secretary

**RFTA BOARD OF DIRECTORS MEETING  
PUBLIC HEARING AGENDA SUMMARY ITEM # 6.A.**

<b>Meeting Date:</b>	December 10, 2015
<b>Agenda Item:</b>	Resolutions #2015-20 & #2015-21 – Adoption of 2016 RFTA Budget and Appropriating Sums of Money for the 2016 Budget Year.
<b>POLICY #:</b>	<b>2.5: Financial Planning/ Budgeting</b>
<b>Action Requested:</b>	Adopt Resolutions 2015-20 & 2015-21
<b>Presented By:</b>	Michael Yang, Director of Finance
<b>Core Issues:</b>	Limited financial resources for the 2016 Budget require the establishment of priorities and expenditures assumptions to meet the 2016 budget goals established by the Board at the August Board meeting.
<b>Background Info:</b>	<p>At the August 2015 Board meeting, staff presented the 2016 budget initiatives, assumptions and issues. Based on these approved budget initiatives and assumptions, staff prepared and presented to the Board three drafts of the 2016 budget between September and November, fine-tuning each one as new information became available. Since the draft budget presented in November, staff has fine-tuned its estimates of revenue and expenditures based on updated information available over the past month, which include budgetary impacts in accordance with the 2016-2018 Collective Bargaining Agreement between Amalgamated Transit Union Local 1774 and RFTA management.</p> <p>The 2016 Budget will be presented in the following order:</p> <ol style="list-style-type: none"> <li>1. Highlights</li> <li>2. Consolidated Financial Overview</li> <li>3. Revenues</li> <li>4. Expenditures</li> <li>5. Fund Balance</li> <li>6. Long Range Financial Forecast</li> </ol>
<b>Policy Implications:</b>	Board Job Products Policy 2.4.5 states, “The Board will approve RFTA’s annual operating budget (subject to its meeting the criteria set forth in the Financial Planning/Budget policy).”
<b>Fiscal Implications:</b>	Inaccurate estimates of revenues and budgeted expenditures could result in the unanticipated use of fund balance in order to achieve the Authority’s goals and objectives.
<b>Options:</b>	<ol style="list-style-type: none"> <li>1) Adopt Resolutions 2015-20 &amp; 2015-21</li> <li>2) Revise and adopt Resolutions 2015-20 &amp; 2015-21</li> </ol>
<b>Staff Recommends:</b>	Adopt Resolutions 2015-20 & 2015-21
<b>Attachments?</b>	Yes, please see Resolutions 2015-20 & 2015-21. The 2016 RFTA Budget document is attached to the e-mail distributing the RFTA Board Packet.

Director \_\_\_\_ moved adoption of the following Resolution:

**BOARD OF DIRECTORS**

**ROARING FORK TRANSPORTATION AUTHORITY**

**RESOLUTION NO. 2015-20**

**IN RE THE MATTER OF THE ADOPTION OF THE BUDGET FOR THE ROARING FORK  
TRANSPORTATION AUTHORITY FOR FISCAL YEAR 2016**

**WHEREAS**, Pitkin County, Eagle County, the City of Glenwood Springs, the City of Aspen, the Town of Carbondale, the Town of Basalt, and the Town of Snowmass Village (the “Cooperating Governments”) on September 12, 2000, entered into an Intergovernmental Agreement to form a Rural Transportation Authority, known as the Roaring Fork Transportation Authority (“RFTA” or “Authority”), pursuant to title 43, article 4, part 6, Colorado Revised Statutes; and

**WHEREAS**, on November 7, 2000, the electors within the boundaries of the Cooperating governments approved the formation of a Rural Transportation Authority; and

**WHEREAS**, the Town of New Castle elected to join the Authority on November 2, 2004; and,

**WHEREAS**, C.R.S. 29-1-103, as amended, requires the RFTA Board of Directors (the “Board”), to adopt a budget setting forth the expenditures of the various Authority funds for fiscal year 2016, beginning January 1, 2016, and ending December 31, 2016; and

**WHEREAS**, public hearings on the proposed 2016 budget for the Authority were held December 10, 2015; and

**WHEREAS**, pursuant to public notice duly published in accordance with C.R.S. 29-1-106, as amended, the proposed 2016 budget for the Authority has continuously been open for public inspection; public hearings were held on December 10, 2015, before the Board to consider the adoption of the subject 2016 proposed budget; and interested taxpayers were and have continuously been given the opportunity to file or register any objections to the subject proposed 2016 budget; and

**WHEREAS**, the Board has made appropriations within the 2016 budget for the Authority for expenditures in an amount equal to or less than the total available revenues and beginning fund balances set forth within the subject proposed 2016 budget; and

**WHEREAS**, The Board of Directors of the Authority has appointed the Chief Executive Officer of the Authority to prepare and submit a proposed budget for their approval, and

**WHEREAS**, expenditures do not exceed revenues reasonably assumed to be available, as required by law; and

**WHEREAS**, all legal requirements have been fully complied with and performed in the premises.

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the Roaring Fork Transportation Authority that the 2016 Budget as summarized herein:

THAT, the 2016 budget as submitted and herein below summarized be, and the same hereby is approved and adopted as the 2016 Budget of the Roaring Fork Transportation Authority, and be a part of the public records of the Roaring Fork Transportation Authority.

THAT, the budget as hereby approved and adopted shall be signed by the Chair of the Roaring Fork Transportation Authority.

<b>Fund</b>	<b>Revenue Type</b>	<b>Budget</b>
<b>GENERAL FUND</b>		
	Sales tax	\$ 20,678,000
	Grant	\$ 2,073,550
	Fares	\$ 4,594,000
	Other govt contributions	\$ 1,364,158
	Other income	\$ 449,140
	Other financing source:	
	Capital lease proceeds	\$ 5,172,000
	<b>Total revenue &amp; other financing sources</b>	<b>\$ 34,330,848</b>
<b>SPECIAL REVENUE FUND - SERVICE CONTRACTS</b>		
	Service contract revenue	\$ 9,671,470
	Grant revenue	\$ 30,000
	Other financing source:	
	Transfer in from General Fund	\$ 138,400
	<b>Total revenue &amp; other financing sources</b>	<b>\$ 9,839,870</b>
<b>SPECIAL REVENUE FUND - BUS STOPS AND PNR</b>		
	Other income	\$ 461,000
	Other financing source:	
	Transfer in from General Fund	\$ 165,640
	<b>Total revenue &amp; other financing sources</b>	<b>\$ 626,640</b>
<b>SPECIAL REVENUE FUND - MID VALLEY TRAILS</b>		
	Sales tax	\$ 50,000

**CAPITAL PROJECTS FUND - SERIES 2016A**

Other Financing Source	
Bond Proceeds	\$ 7,105,000

**CAPTIAL PROJECTS FUND - AMF**

Other Financing Source	
Transfer in from General Fund	\$ 225,000

**DEBT SERVICE FUND - SERIES 2009A**

Other financing source:	
Transfer in from General Fund	\$ 688,875

**DEBT SERVICE FUND - SERIES 2009B**

Other income	\$ 466,200
Other financing source:	
Transfer in from General Fund	<u>\$ 962,986</u>
Total revenue & other financing sources	\$ 1,429,186

**DEBT SERVICE FUND - SERIES 2012A**

Other income	\$ 162,094
Other financing source:	
Transfer in from General Fund	<u>\$ 390,936</u>
Total revenue & other financing sources	\$ 553,030

**DEBT SERVICE FUND - SERIES 2013A**

Other financing source:	
Transfer in from General Fund	\$ 165,250

**DEBT SERVICE FUND - SERIES 2013B**

Other income	\$ 43,670
Other financing source:	
Transfer in from General Fund	<u>\$ 67,090</u>
Total revenue & other financing sources	\$ 110,760

**DEBT SERVICE FUND - SERIES 2016A**

Other financing source:	
Transfer in from General Fund	\$ 411,000

**DEBT SERVICE FUND - RESERVE FUND**

Other financing source:	
Transfer in from Series 2016A CPF	\$ 272,000

**TOTAL OF ALL FUNDS**

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**\$ 55,807,459**

THAT, pursuant to C.R.S. 29-1-113, the RFTA Chief Executive Officer, the RFTA Budget Administrator, is hereby requested and directed to immediately transmit a copy of this Resolution to the officer or employee of the Authority whose duty is to draw warrants or orders for the payment of money.

THAT, pursuant to Section 29-1-113, C.R.S., the RFTA Budget Administrator, is hereby further requested and directed to file an original or certified copy of this Resolution with the Division of Local Government in the Department of Local Affairs.

THAT, the adopted 2016 budget made as set forth in this Resolution is to be read as one comprehensive and integrated document.

THAT, the Board hereby declares to be the legislative intent that the several provisions of this Resolution shall be severable, in accordance with the provisions set forth below:

If any provision of this Resolution is declared to be invalid by decision of any court of competent jurisdiction, it is hereby declared to be the legislative intent that:

- a. The effect of such decision shall be limited to that provision or provisions which are expressly stated in the decision to be invalid; and
- b. Such decision shall not affect, impair, or nullify this Resolution as a whole or any other part thereof, but the rest of this Resolution shall continue in full force and effect.

THAT, this Resolution is necessary by the Board of Directors of the Roaring Fork Transportation Authority.

**INTRODUCED, READ AND PASSED** by the Board of Directors of the Roaring Fork Transportation Authority at its regular meeting held the 10<sup>th</sup> day of December, 2015.

ROARING FORK TRANSPORTATION AUTHORITY

By and through its BOARD OF DIRECTORS:

By: \_\_\_\_\_

Stacey Patch-Bernot, Chair

I, the Secretary of the Board of Directors (the "Board") of the Roaring Fork Transportation Authority (the "Authority") do hereby certify that (a) the foregoing Resolution was adopted by the Board at a meeting held on December 10th, 2015(b) the meeting was open to the public; (c) the Authority provided at least 48 hours' written notice of such meeting to each Director and Alternate Director of the Authority and to the Governing Body of each Member of the Authority; (d) the Resolution was duly moved, seconded and adopted at such meeting by the affirmative vote of at least two-thirds of the Directors then in office who were eligible to vote thereon voting; and (e) the meeting was noticed, and all proceedings relating to the adoption of the Resolution were conducted, in accordance with the Roaring Fork Transportation Authority Intergovernmental

Agreement, as amended, all applicable bylaws, rules, regulations and resolutions of the Authority, the normal procedures of the Authority relating to such matters, all applicable constitutional provisions and statutes of the State of Colorado and all other applicable laws.

WITNESS my hand this \_\_\_\_ day of \_\_\_\_\_, 2015.

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Secretary to the Board

**Director \_\_\_\_ moved adoption**

**of the following Resolution:**

**BOARD OF DIRECTORS**

**ROARING FORK TRANSPORTATION AUTHORITY**

**RESOLUTION NO. 2015-21**

**IN RE THE MATTER OF THE MAKING OF APPROPRIATIONS FOR THE ROARING FORK  
TRANSPORTATION AUTHORITY FOR FISCAL YEAR 2016 BUDGET RESOLUTION**

**WHEREAS**, Pitkin County, Eagle County, the City of Glenwood Springs, the City of Aspen, the Town of Carbondale, the Town of Basalt, and the Town of Snowmass Village (the “Cooperating Governments”) on September 12, 2000, entered into an Intergovernmental Agreement to form a Rural Transportation Authority, known as the Roaring Fork Transportation Authority (“RFTA” or “Authority”), pursuant to title 43, article 4, part 6, Colorado Revised Statutes; and

**WHEREAS**, on November 7, 2000, the electors within the boundaries of the Cooperating governments approved the formation of a Rural Transportation Authority; and

**WHEREAS**, the Town of New Castle elected to join the Authority on November 2, 2004; and,

**WHEREAS**, C.R.S. 29-1-103, as amended, requires the RFTA Board of Directors (the “Board”), to adopt a budget setting forth the expenditures of the various Authority funds for fiscal year 2016, beginning January 1, 2016, and ending December 31, 2016; and

**WHEREAS**, public hearings on the proposed 2016 budget for the Authority were held December 10, 2015; and

**WHEREAS**, pursuant to public notice duly published in accordance with C.R.S. 29-1-106, as amended, the proposed 2016 budget for the Authority has continuously been open for public inspection from; public hearings were held on December 10, 2015, before the Board to consider the adoption of the subject 2016 proposed budget; and interested taxpayers were and have continuously been given the opportunity to file or register any objections to the subject proposed 2016 budget; and

**WHEREAS**, the Board has made appropriations within the 2016 budget for the Authority for expenditures in an amount equal to or less than the available revenues and beginning fund balances set forth within the subject proposed 2016 budget; and

**WHEREAS**, The Board of Directors of the Authority has appointed the Chief Executive Officer of the Authority to prepare and submit a proposed budget for their approval, and

**WHEREAS**, expenditures do not exceed revenues reasonably assumed to be available, as required by law; and

**WHEREAS**, C.R.S. 29-1-108, requires the Board to enact a resolution making appropriations for fiscal year 2016 in accordance with the adopted 2016 budget for the Authority; and

**WHEREAS**, all legal requirements have been fully complied with and performed in the premises.

**NOW, THEREFORE, BE IT RESOLVED** by the Board of Directors of the Roaring Fork Transportation Authority that the 2016 Budget as summarized herein:

THAT, the sums stated in the 2016 budget as submitted are hereby appropriated for the purposes stated herein and summarized below:

<b>Fund</b>	<b>Expenditure Type</b>	<b>Budget</b>
<b>GENERAL FUND</b>		
	Fuel	\$ 1,698,415
	Transit	\$ 19,715,589
	Trails and Corridor Mgmt	\$ 437,460
	Capital	\$ 7,050,000
	Debt Service	\$ 2,318,980
	Other financing use:	
	Transfer to Series 2009A DSF	\$ 688,875
	Transfer to Series 2009B DSF	\$ 962,986
	Transfer to Series 2012A DSF	\$ 390,936
	Transfer to Series 2013A DSF	\$ 165,250
	Transfer to Series 2013B DSF	\$ 67,090
	Transfer to Series 2016A DSF	\$ 411,000
	Transfer to AMF CPF	\$ 225,000
	Transfer to Bus Stops/PNR SRF	\$ 165,640
	Transfer to Service Contracts SRF	\$ 138,400
	Total expenditures & other financing uses	\$ 34,435,621
<b>SPECIAL REVENUE FUND - SERVICE CONTRACTS</b>		
	Fuel	\$ 744,885
	Operating	\$ 8,352,331
	Capital	\$ 742,654
	Total	\$ 9,839,870
<b>SPECIAL REVENUE FUND - BUS STOPS AND PNR</b>		
	Transit	\$ 626,640

**SPECIAL REVENUE FUND - MID VALLEY TRAILS**

Trails \$ 65,750

**CAPITAL PROJECTS FUND - SERIES 2016A**

Capital \$ 6,691,000

Debt service \$ 142,000

Other financing use:

Transfer to Reserve Fund DSF \$ 272,000

Total \$ 7,105,000

**DEBT SERVICE FUND - SERIES 2009A**

Debt service \$ 688,875

**DEBT SERVICE FUND - SERIES 2009B**

Debt service \$ 1,429,186

**DEBT SERVICE FUND - SERIES 2012A**

Debt service \$ 553,030

**DEBT SERVICE FUND - SERIES 2013A**

Debt service \$ 165,250

**DEBT SERVICE FUND - SERIES 2013B**

Debt service \$ 110,760

**DEBT SERVICE FUND - SERIES 2016A**

Debt service \$ 411,000

**TOTAL OF ALL FUNDS**

**\$ 55,430,982**

THAT, pursuant to C.R.S. 29-1-113, the RFTA Chief Executive Officer, the RFTA Budget Administrator, is hereby requested and directed to immediately transmit a copy of this Resolution to the officer or employee of the Authority whose duty is to draw warrants or orders for the payment of money.

THAT, pursuant to Section 29-1-113, C.R.S., the RFTA Budget Administrator, is hereby further requested and directed to file an original or certified copy of this Resolution with the Division of Local Government in the Department of Local Affairs.

THAT, the 2016 appropriations made as set forth in this Resolution are to be read as one

comprehensive and integrated document. In no event shall a Authority fund expend or contract to expend any money; or incur any liability; or enter into any contract which, by its terms, involves the expenditure of money for any purpose for which provision is made in the Resolution, associated working documents and the related public budget meetings/hearings, which is in excess of the amounts appropriated in this Resolution for such fund and/or purpose; nor which involves the expenditure of money inconsistent with the purposes, functions, restrictions, clarifications and/or specified monetary amounts as detailed and set forth within this Resolution, associated working documents and the related public budget meetings/hearings; nor which involves the expenditure of money for any purposes which is not identified within the aforementioned; unless prior to such expenditure the fund of the Authority has presented the same to the Board and the Board duly approves such expenditure in accordance with applicable law.

THAT, the Board hereby declares to be the legislative intent that the several provisions of this Resolution shall be severable, in accordance with the provisions set forth below:

If any provision of this Resolution is declared to be invalid by decision of any court of competent jurisdiction, it is hereby declared to be the legislative intent that:

- a. The effect of such decision shall be limited to that provision or provisions which are expressly stated in the decision to be invalid; and
- b. Such decision shall not affect, impair, or nullify this Resolution as a whole or any other part thereof, but the rest of this Resolution shall continue in full force and effect.
- c.

THAT, this Resolution is necessary by the Board of Directors of the Roaring Fork Transportation Authority.

**INTRODUCED, READ AND PASSED** by the Board of Directors of the Roaring Fork Transportation Authority at its regular meeting held the 10<sup>th</sup> day of December, 2015.

ROARING FORK TRANSPORTATION AUTHORITY

By and through its BOARD OF DIRECTORS:

By: \_\_\_\_\_

Stacey Patch-Bernot, Chair

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Agreement, as amended, all applicable bylaws, rules, regulations and resolutions of the Authority, the normal procedures of the Authority relating to such matters, all applicable constitutional provisions and statutes of the State of Colorado and all other applicable laws.

WITNESS my hand this \_\_\_\_ day of \_\_\_\_\_, 2015.

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Secretary to the Board